

## **Appendix 1 – Direction, Coordination and Control**

Primary Agency: Illinois Emergency Management Agency (IEMA)

All Agencies: General Requirements for Other State Agencies, Boards and Commissions

### **I. Introduction**

#### **A. Purpose**

1. Provide strategic guidance for direction, coordination, and control of state resources and support organizations in response to an earthquake.

#### **B. Scope**

1. Implementation of strategic policy and guidelines for state resources and support organizations activated under the IEOP, from initial activation through recovery.
2. Direction, coordination and control actions ensure affected populations are provided life-safety and life essential services; protection of the environment and natural, cultural and historical buildings and collections; and critical infrastructure is in place to support ongoing operations response and recovery.
3. This appendix applies to state agencies, boards, commissions, non-governmental associations and mutual-aid partners having a primary role or responsibility in response and recovery.
4. This appendix does not replace or supersede IEOP Annex 1, Direction, Coordination and Control, or its appendices, but acts as a supplement for earthquake specific requirements for response and recovery operations.

#### **C. Policy**

1. Implementation of this appendix will not supersede any individual agency's administrative protocol, policies and procedures.
2. Response and recovery operations will include and/or consider operational priorities that include, but are not limited to, life, safety, health, property protection, environmental protection, restoration of essential utilities, restoration of essential program functions and coordination as appropriate.
3. The SEOC will provide technical and logistical assistance in support of operations as requested and approved.

4. State agency personnel will be trained to the assigned mission, to the extent possible, and be made aware of potential risks involved.
5. Organizations conducting SEOC support operations are responsible for training personnel in requirements and processes.
6. At the direction of the Governor or designee, state agencies without a direct response role may be tasked with providing agency personnel in support of SEOC operations.

D. Situation Overview

1. An earthquake(s) with a magnitude of 4.5 or greater has occurred resulting in damage to critical life line infrastructure, disruption of day-to-day activities, and a threat to life-safety across one or more impacted areas.
2. Local capabilities and resources (personnel, equipment, critical facilities, and supplies) are engaged in response and recovery efforts and/or limited due to the scope, nature, and magnitude of event.
3. Response and recovery operations require activation and mobilization of state resources in support of local governments.
4. Coordination among the whole community is necessary to affect timely response and recovery, and in some cases will require alternate methods of command, control, communications, and coordination.

E. Assumptions

1. State and federal assistance will be required to support local response and recovery efforts.
2. Mutual Aid Agreements (MAA), Memoranda of Understanding (MOU), Memoranda of Agreement (MOA), compacts and service agreements will be required.
3. Individual agency resources are insufficient for the direction, coordination and control of responding resources.
4. SEOC assistance is required to continue and restore critical processes.
5. Impacts to individual agency operations are not fully identified.
6. Critical processes have been identified and established.
7. Operations are directly affected by secondary threats or hazards.

II. Concept of Operations

A. General

1. The SEOC is the single point of strategic coordination and management, and is the official verification source for any event requiring implementation of the IEOP.
2. The SEOC is a liaison-based command element that combines both discipline and function.
3. Response and recovery operations will be conducted in accordance with the laws, rules, regulations, and policy of the State of Illinois and requirements established by the federal government.
4. The Illinois Disaster Management System (IDMS) and National Incident Management System (NIMS) will be utilized for all operations.

B. Operational Priorities

1. Primary and support agencies are responsible for internal and support partner notification.
2. The state will apply strategic priorities to determine direction, coordination and control of state mobilized resources for:
  - a) Life-safety / Search and Rescue
  - b) Temporary Restoration of Critical Infrastructure
  - c) Transportation
  - d) Implementation and maintenance of site security
  - e) Population Related Disaster Services
  - f) Permanent debris removal and relocation not required for immediate life, safety, and evacuation missions

C. Organization

1. Direction and Control
  - a) IEMA, through the SEOC, retains overall coordination and management of state response and recovery in accordance with the IEMA Act.
  - b) State agencies and mutual aid organizations will retain operational control of resources.
2. Coordinating Elements
  - a) SEOC notification, alert and warning of SEOC liaisons will be handled in accordance with IEOP Annex 3, Communications, Appendix X-1, Notification, Alert and Warning.
  - b) Primary and support agencies are responsible for internal and support partner notification.

- c) The SEOC can coordinate the state's overall response directly with the appropriate state agency or private sector organization having enabling authority or a role and responsibility in response or recovery operations.
- d) The following coordinating elements are provided in accordance the IEOP:
  - 1) State unified area command (SUAC)/State Area Command (SAC) elements may be deployed by the SEOC to coordinate information and resource requirements in impacted areas.
    - (a) State agencies involved in the implementation of traffic management will deploy an agency liaison to each location in which a SUAC/SAC is activated.
    - (b) SUAC/SAC agency liaisons are delegated the role and responsibility for the accountability, notification, activation, deployment and coordination of resources within the operational area assigned to the SUAC/SAC by the SEOC.
  - 2) One or more ESSFs may be implemented by the SEOC for specific strategic action planning for transportation management.
    - (a) ESSFs assigned to the SUAC/SAC operate under the authority of the SUAC/SAC commander.
    - (b) ESSF staffing will be determined by the SEOC manager and SUAC/SAC commander in coordination with SEOC agency liaisons.

3. Federal Coordination

- a) Under an emergency presidential declaration, the SEOC will coordinate federal assets into state operations through SEOC LNOs, state agencies, boards and commissions in accordance with enabling authority.

D. System Capabilities and Structure

1. State resources in impacted areas

- a) Resources in impacted area will not be initially available for response and recovery operations.

- b) Agencies controlling these resources will take inventory and conduct assessment of operational ability of the resources as soon as possible in order to integrate them into response and recovery operations.

2. State resources in non-impact areas

- a) All state resources outside of the impact area will be made immediately available for response and recovery operations from respective agencies controlling the resources.

E. Resource Management and Logistics

- 1. Resource management and logistics will be carried out in accordance with IEOP Annex 9, Resource Management and Logistics.
- 2. Resource management and Logistics includes mutual aid agreements and assistance agreements; the use of special federal and state teams; and resource mobilization protocols.

III. Roles and Responsibilities

A. Illinois Emergency Management Agency (IEMA)

- \_\_\_\_\_ 1) Activate SEOC at the onset of a 4.5 magnitude or greater earthquake and determine appropriate activation level.
- \_\_\_\_\_ 2) Determine and implement appropriate activation level for the BEOC.
- \_\_\_\_\_ 3) Notification of SEOC activation of all supporting SEOC liaisons and elements through established channels of communication.
- \_\_\_\_\_ 4) Notify key decision-makers and emergency personnel of an SEOC activation.
- \_\_\_\_\_ 5) Coordinate the collection, receipt, compilation and development of situational reports utilizing Rapid Needs Assessments (RNA).
- \_\_\_\_\_ 6) Establish command, coordination, control, and communications necessary to establish strategic priorities consistent with SEOC policy, plans and procedures.
- \_\_\_\_\_ 7) Deployment of SEOC liaisons to conduct assigned operations as directed by the SEOC.

- \_\_\_\_\_ 8) Coordinate with private sector, non-governmental, volunteer, faith-based organizations.
- \_\_\_\_\_ 9) Implement emergency communications plan internally and externally with higher, lateral, and subordinate response organizations.
- \_\_\_\_\_ 10) Develop and maintain maps, visual aids and displays to coordinate response and recovery operations.
- \_\_\_\_\_ 11) Distribute critical information and priority information requirements to all organizations and identified key-stakeholders.
- \_\_\_\_\_ 12) Ensure development and maintenance of a Common Operating Picture (COP), Director's Update Briefings (DUB), and situational reports.
- \_\_\_\_\_ 13) Coordinate disaster intelligence and information collection; analysis and dissemination; and maintain actionable situational awareness.
- \_\_\_\_\_ 14) Coordinate with federal agencies and representatives to determine the need for federal disaster assistance.

B. All Agencies: General Requirements

- \_\_\_\_\_ 1) Activate staffs necessary to support SEOC operations.
- \_\_\_\_\_ 2) Provide resources in support of requests approved by the SEOC.
- \_\_\_\_\_ 3) Identify functions, services, applications and/or staff available to support the continuation and restoration of external agency's critical processes.
- \_\_\_\_\_ 4) Coordinate with private sector, non-governmental, and volunteer organizations throughout response and short-term recovery operations.
- \_\_\_\_\_ 5) Direct and manage operational and tactical activities of staff.
- \_\_\_\_\_ 6) Establish and maintain contact with key decision-makers and emergency personnel.
- \_\_\_\_\_ 7) Notify key decision-makers and personnel of jurisdictional policies for the conduct of emergency operations.

- \_\_\_\_\_ 8) Participate in common operating picture (COP), and develop commander's update briefings (CUB)/CIR/PIR.
- \_\_\_\_\_ 9) Conduct all operations in accordance with applicable local, state, and federal rules, regulations, and policies.

#### IV. Authorities and References

Authorities and References are located within the IEOP, Annex – H1 Earthquake for all supporting agencies and organizations.